

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NAOYUKI OZAKI  
Serial No.: [NEW] Group Art Unit: (Not yet assigned)  
Filed: OCTOBER 23, 2003 Examiner: (Not yet assigned)  
Title: AUTOMATIC TRANSMISSION CONTROL METHOD AND  
AUTOMATIC TRANSMISSION CONTROLLER

INFORMATION DISCLOSURE STATEMENT

**Mail Stop PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 CFR §1.97(e) or fee under 37 CFR §1.17(p) is required.

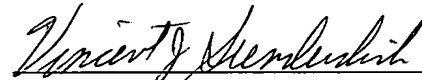
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be

appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 CFR §1.97(d)(2) and that the petition fee set forth in 37 CFR §1.17(i) in accordance with 37 CFR §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket #056203/49698C1).

Respectfully submitted,

October 23, 2003



---

Vincent J. Sunderdick  
Registration No. 29,004  
For James F. McKeown  
Registration No. 25,406

CROWELL & MORING, LLP  
P.O. Box 14300  
Washington, DC 20044-4300  
Telephone No.: (202) 624-2500  
Facsimile No.: (202) 628-8844

JFM/acd

FORM PTO-1449	U.S. Department of Commerce Patent & Trademark Office	Attorney Docket No. 056203.49698C1	Serial No. [NEW]
<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>		Applicant: Naoyuki OZAKI et al.	
		Filing Date October 23, 2003	Group (Not yet assigned)
October 23, 2003			

U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS								
Examiner Initial		Country	Document Number	Date	Class	Sub-Class	TRANSLATION	
							Yes	No
	AK	JP	2000-065199	3/2000			Abstract	
	AL	JP	06-201027	7/1994			Abstract	
	AM	JP	07-290998	11/1995			Abstract	
	AN	JP	2000-130571	5/2000			Abstract	
	AO	JP	11-082719	3/1999			Abstract	
	AP	JP	10-257610	9/1998			Abstract	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AQ	
	AR	
	AS	
	AT	
	AU	
	AV	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.	

FORM PTO-1449 U.S. Department of Commerce Patent & Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>	Attorney Docket No. 381AS/49698	Serial No. 09/791,750
	Applicant: Naoyuki OZAKI et al.	
	Filing Date February 26, 2001	Group 3617

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
	AA	4,860,607	08/29/1989	Numazawa et al.			
	AB	5,313,856	05/24,1994	Schneider et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-Class	TRANSLATION	
							Yes	No
	AK	EP 1 164 310	12/19/2001	Europe			N/A	
	AL	EP 0 367 020	05/09/1990	Europe			Abstract	
	A M							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	Copy of EPO Search Report dated March 27, 2002.					
	AR						
	AS						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

**Notice of References Cited**

Application/Control No.

09/791,750

Applicant(s)/Patent Under  
Reexamination  
OZAKI ET AL.

Examiner

Ankur Parekh

Art Unit

3681

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-4,627,312	12-1986	Fujieda et al.	477/124
	B	US-4,860,607	08-1989	Numazawa et al.	192/3.58
	C	US-5,615,578	04-1997	Furukawa et al.	74/336R
	D	US-6,295,884	10-2001	Miyake et al.	477/121
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

FORM PTO-1449 U.S. Department of Commerce Patent & Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>	Attorney Docket No. 381AS/49698	Serial No. 09/791,750
	Applicant: Naoyuki OZAKI	
	Filing Date February 26, 2001	Group

U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)
	AA	6009768	01/2000	Hoshiya, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS								
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	TRANSLATION	
							Yes	No
	AK	19952289	10/2001	Germany			Abstract	
	AL							
	A M							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)									
	AQ	Search Report							
	AR								
	AS								

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.